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## RESEARCH PORTAL DATA PROCESSING NOTICE

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### Information to Data Subjects

**1. What is the reason for the Joint Control?**

Baader Bank and AlphaValue (together the "**Parties**") co-operate with regard to the operation of the Research Portal. This also applies to the processing of your personal data. The Parties have jointly determined how your personal data will be processed in relation to the operation of the Research Portal. They are therefore joint controllers within the meaning of the GDPR and thus jointly responsible for the protection of your personal data within the processing activities described below (Art. 26 of the GDPR).

**2. Which Processing Activities are Covered by the Joint Control?**

The processing in connection with your use of the Research Portal, inter alia the registration, the tracking of your user activity, chat activity, website analytics, is subject to the Parties' joint control.

**3. What have the Parties agreed?**

As part of their joint responsibility under data protection law, Baader Bank and AlphaValue have agreed which of them will fulfil which obligations under the GDPR. This relates in particular to the exercise of the rights of the data subjects and the fulfilment of the information obligations under Articles 13 and 14 of the GDPR.

If you have concerns or questions regarding this Research Portal Data Processing Notice, please contact us as follows:

Baader Bank AG, Weihenstephaner Straße 4, 85716 Unterschleißheim, Germany

Tel.: +49 (0) 8951500

Fax: + 49 (0) 8951501111

Email: [service@baaderbank.de](mailto:service@baaderbank.de)

The contact details of our data protection officer are as follows: Baader Bank AG, Weihenstephaner Straße 4, 85716 Unterschleißheim, Germany, Email:

[datenschutz@baaderbank.de](mailto:datenschutz@baaderbank.de)

Irrespective of this, you may exercise your rights under the GDPR and/or other applicable data protection law in respect of and against each of the Parties.